

# THE CITIZENS' GUIDE

A Pocket Reference to New York State's Finances



For Fiscal Year Ended March 31, 2013

THOMAS P. DiNAPOLI  
*State Comptroller*

*August 2013*

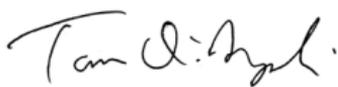
Dear Fellow New Yorker:

As New York's chief financial officer, I want to make information about the State's finances easily understandable and available to as many New Yorkers as possible.

This guide is just one of my efforts to make information accessible to all New Yorkers. You can find much more information about New York State and local governments on my website: [www.osc.state.ny.us](http://www.osc.state.ny.us).

I hope you find this guide helpful.

Sincerely,



Thomas P. DiNapoli  
State Comptroller



# TABLE OF CONTENTS

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## YOUR TAX DOLLARS

WHERE STATE FUNDS COME FROM, WHERE THEY GO.....	2
FEDERAL GRANTS SPENDING .....	3
SPENDING FROM STATE RESOURCES .....	4
SPENDING PER PERSON BY PROGRAM .....	5
TAXES: HOW DO WE COMPARE? .....	6

## EDUCATION

FUNDING SOURCES .....	7
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## NEW YORK'S DEBT

HOW MUCH DEBT DOES THE STATE HAVE AND WHAT DOES IT COST?.....	8
STATE DEBT PER CAPITA AND STATE DEBT AS A PERCENTAGE OF PERSONAL INCOME.....	9

## NEW YORK'S ECONOMY

UNEMPLOYMENT RATES BY MAJOR METROPOLITAN AREA.....	10
PERSONAL INCOME PER PERSON .....	11
RECIPIENTS OF PUBLIC ASSISTANCE/FOOD STAMPS .....	11

## INFRASTRUCTURE

HIGHWAY CONDITION RATINGS .....	12
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## HEALTH

MEDICAID COSTS.....	13
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## STATE REVENUE AND SPENDING DETAILS

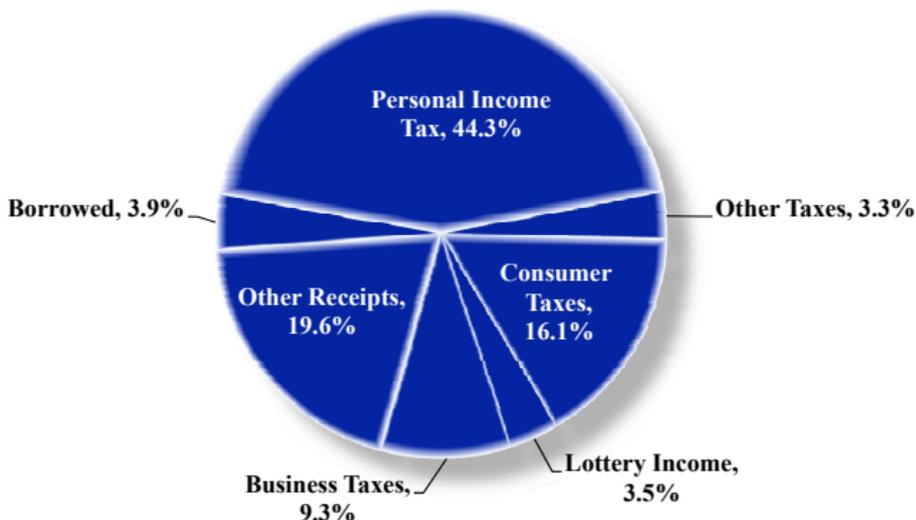
STATE REVENUE DETAILS .....	14
STATE SPENDING DETAILS .....	15
FEDERAL GRANTS RECEIVED AND DISBURSED.....	16

SOURCES FOR ADDITIONAL INFORMATION .....	17
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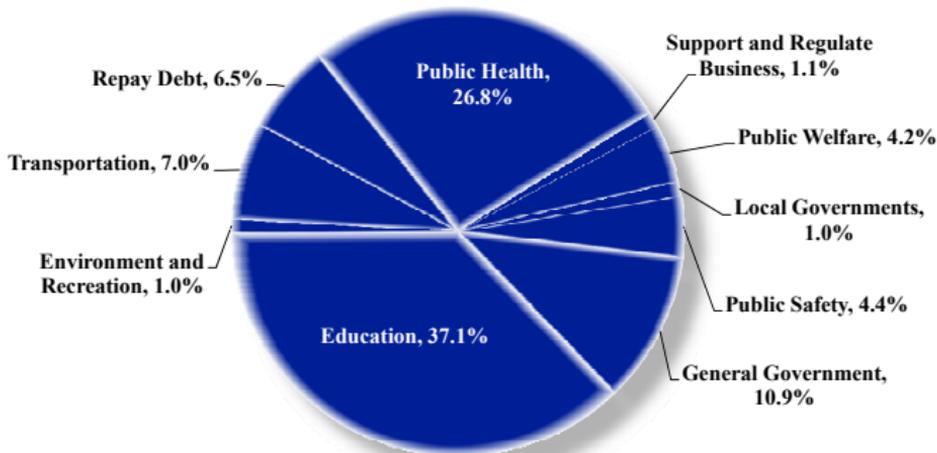
# STATE FUNDS 2012-2013

The State Collected and Borrowed \$90.8 Billion

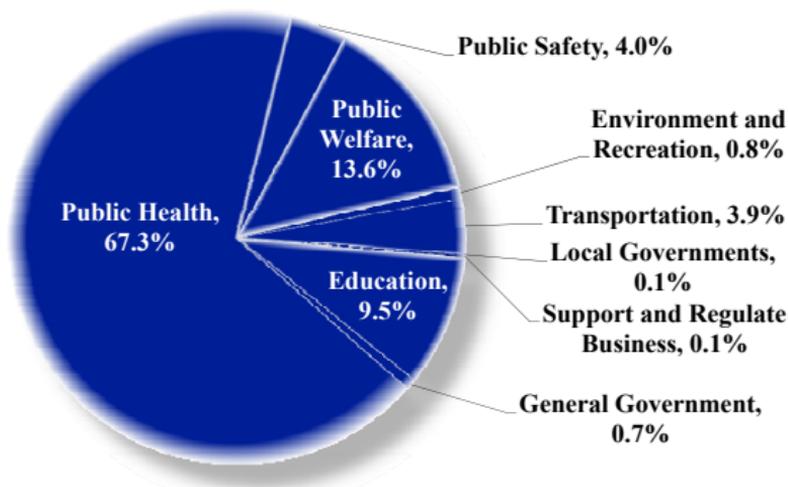


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The State Spent \$94.5 Billion

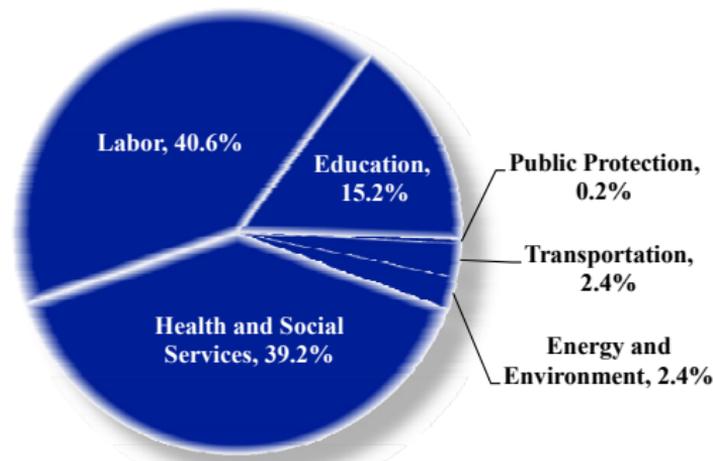


## The State Spent \$38.6 Billion from the Federal Government



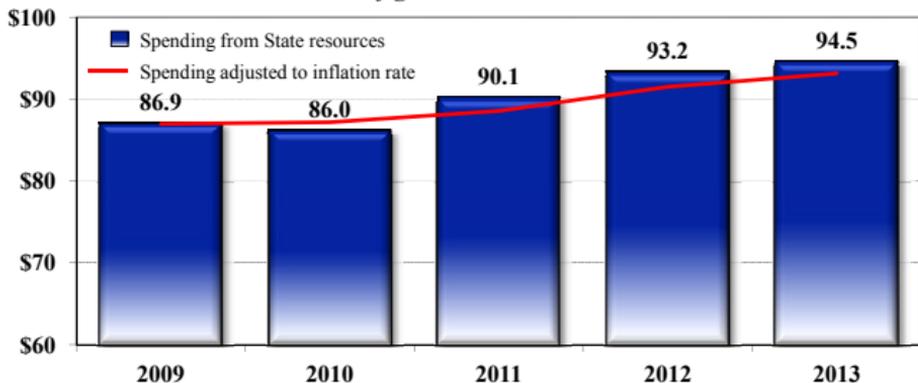
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## The State has Spent \$38 Billion in Life-to-Date Federal American Recovery and Reinvestment Act Funds



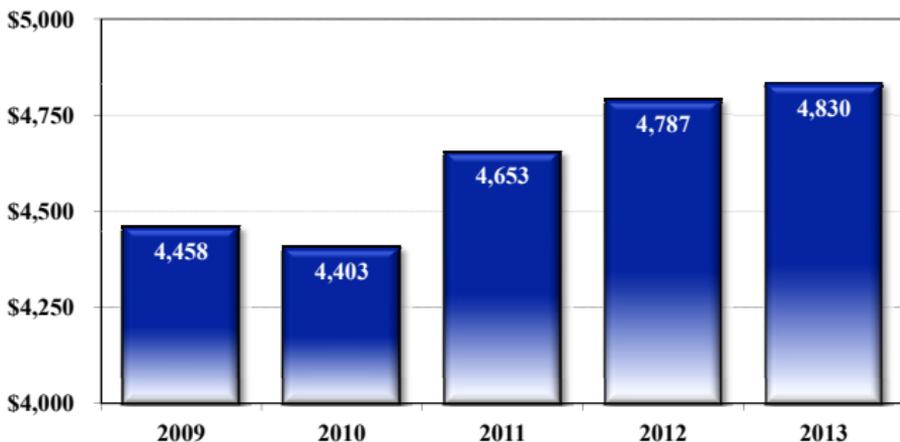
# Total Spending from State Resources

*figures in billions*



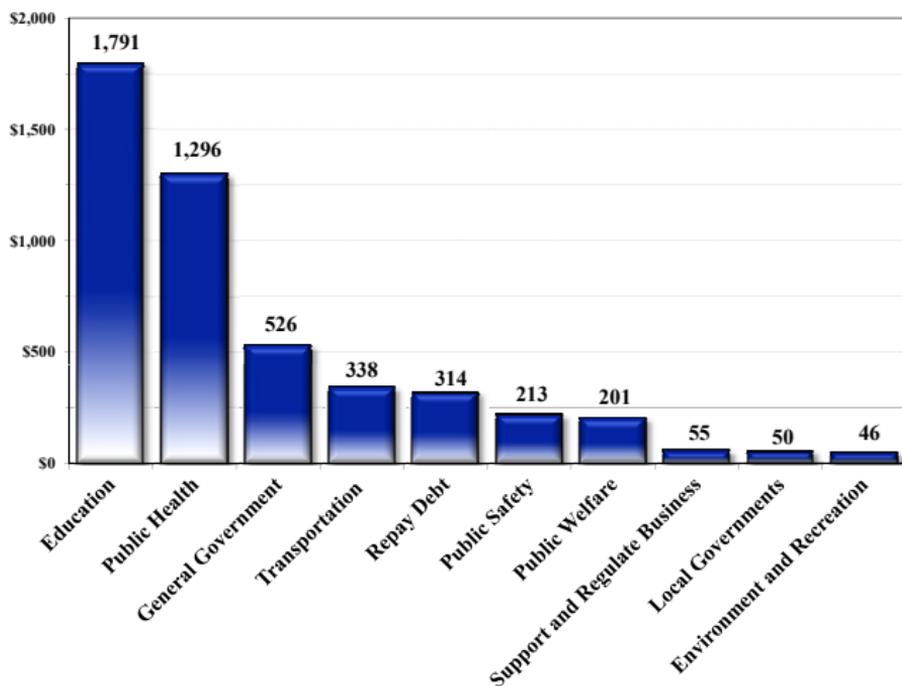
*Since 2009, spending from State resources increased 8.7%. Inflation-adjusted spending increased 7.1% over the same period.*

# Total State Spending from State Resources per Person



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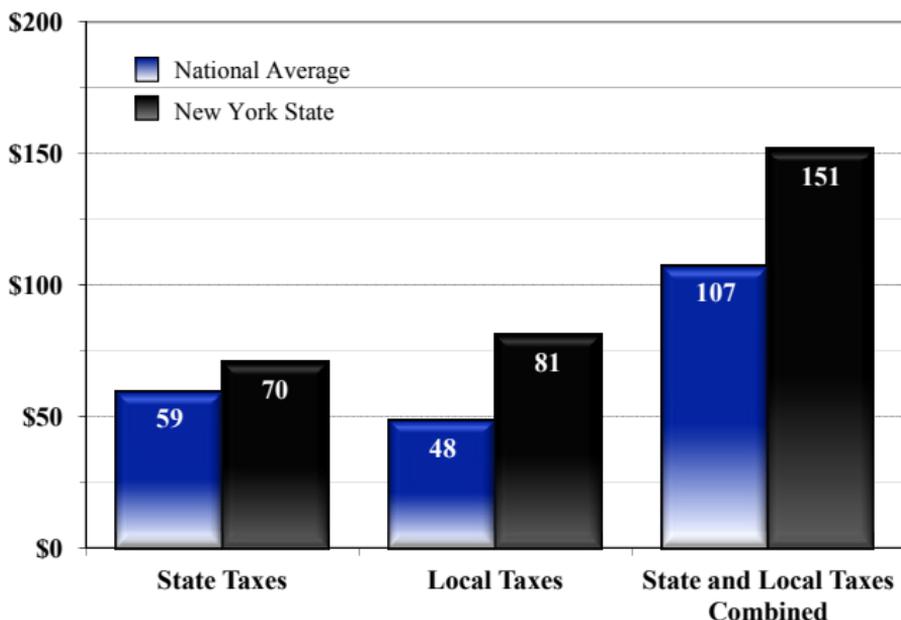
## Total Spending from State Resources per Person by Program for 2012-2013



*Spending for Education and Public Health accounts for 63.9% of total spending from State resources.*

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## Taxes per \$1,000 of Personal Income\*



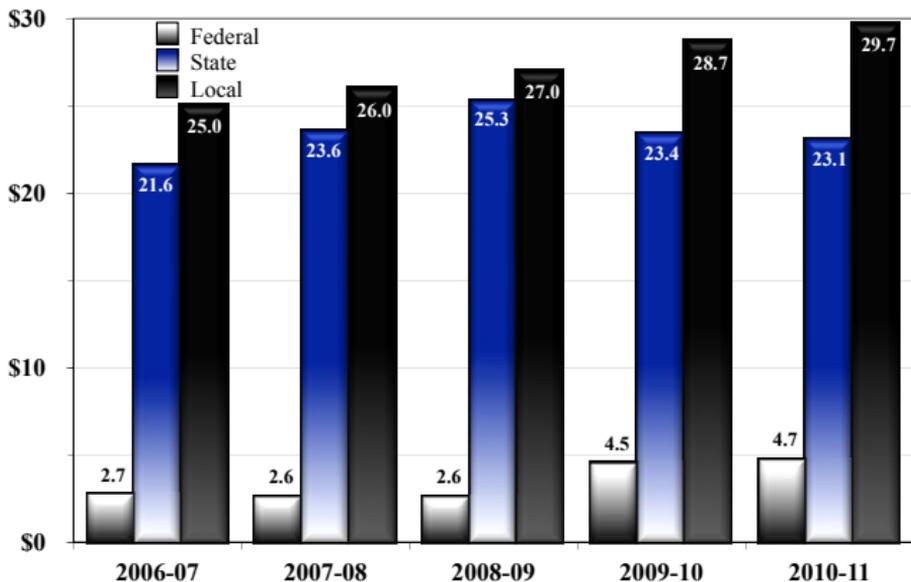
\*Fiscal year 2010

*Local taxes in New York are 68.8% above the national average, while State taxes are 18.6% above the national average.*

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## Education Funding Sources

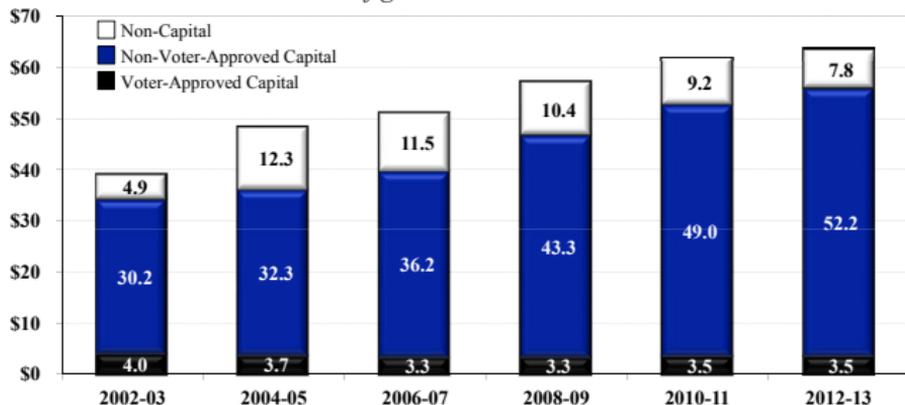
*figures in billions*



*Between 2006-07 and 2010-11, State support for public elementary and secondary schools grew by 6.9%, while local support grew by 18.8%. Federal support increased by 74.1% over the same period.*

## State-Funded Debt

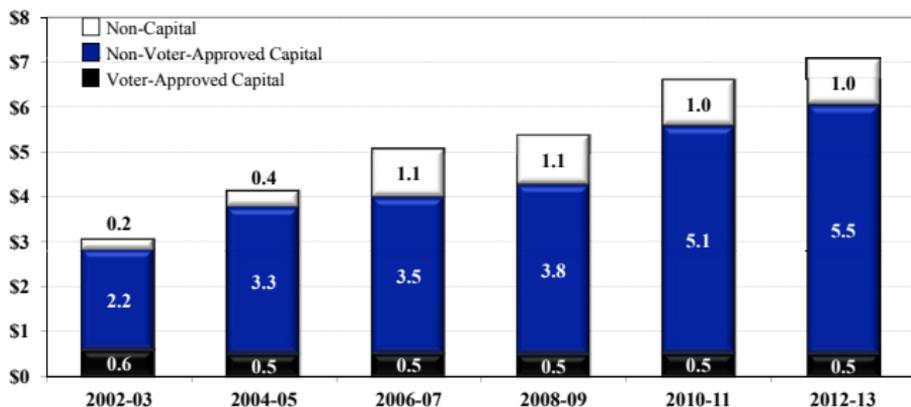
*figures in billions*



*State-funded debt increased 62.4% since 2003.  
Non-voter-approved capital debt accounted for 82.2%  
of the State-funded debt outstanding in 2012-13.*

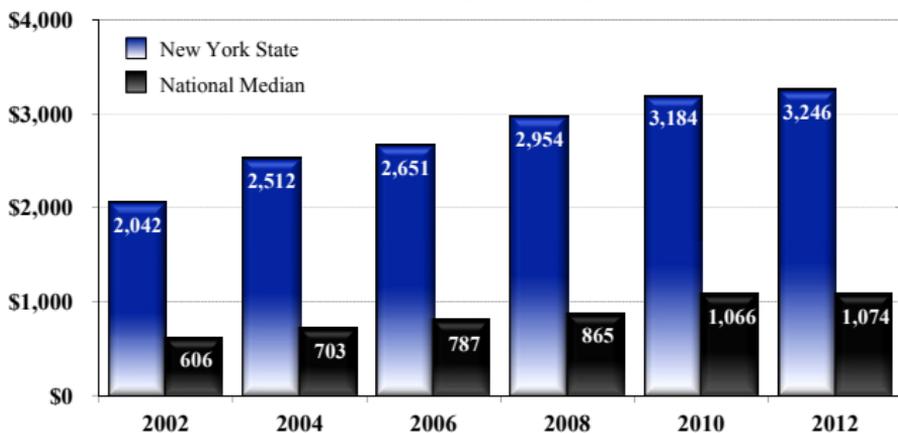
## The Cost of New York State's Debt

*figures in billions*



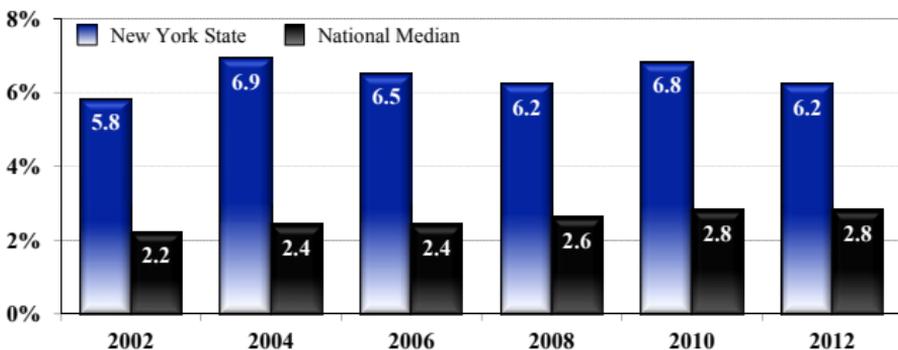
*Debt service costs have increased 133.3% since 2003.*

## State Debt per Capita



*In 2012, New York's debt per capita was over three times the national median.*

## State Debt as a Percentage of Personal Income

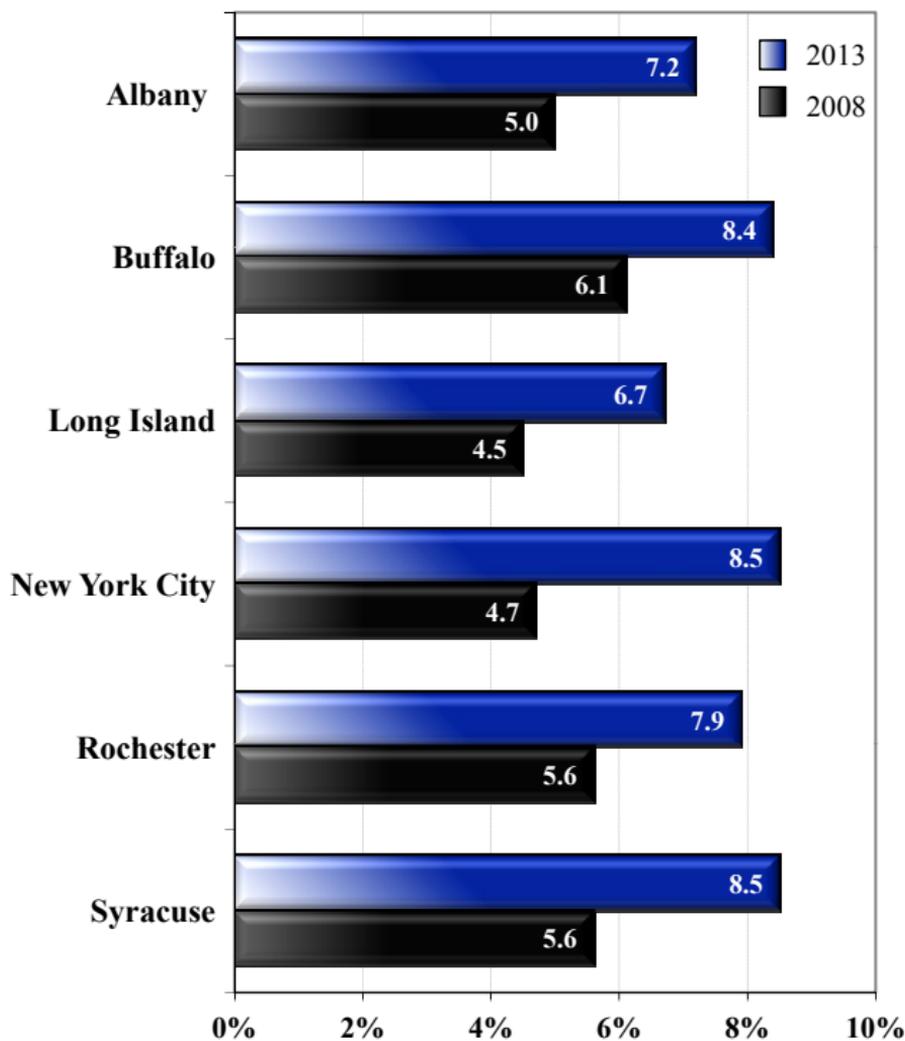


*New York has more than twice as much State debt as a percentage of personal income than the national median.*

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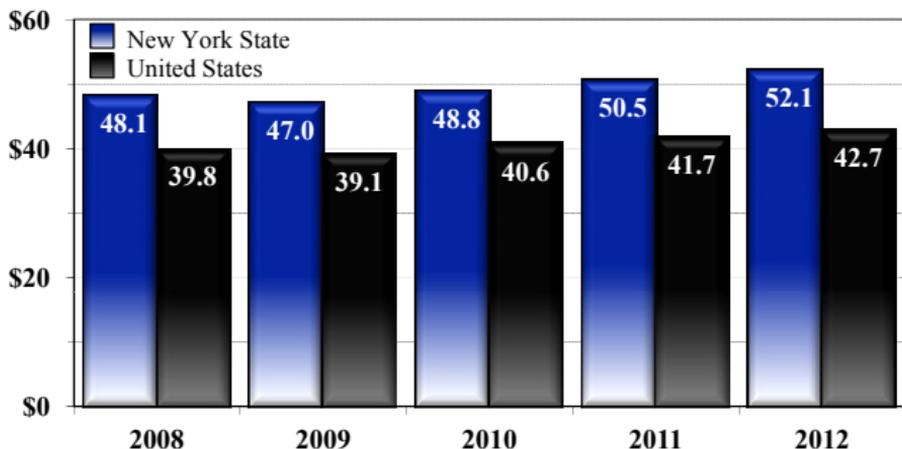
## Unemployment Rates by Major Metropolitan Area

*comparison of 2008 and 2013*



## Personal Income per Person, N.Y. vs. U.S.

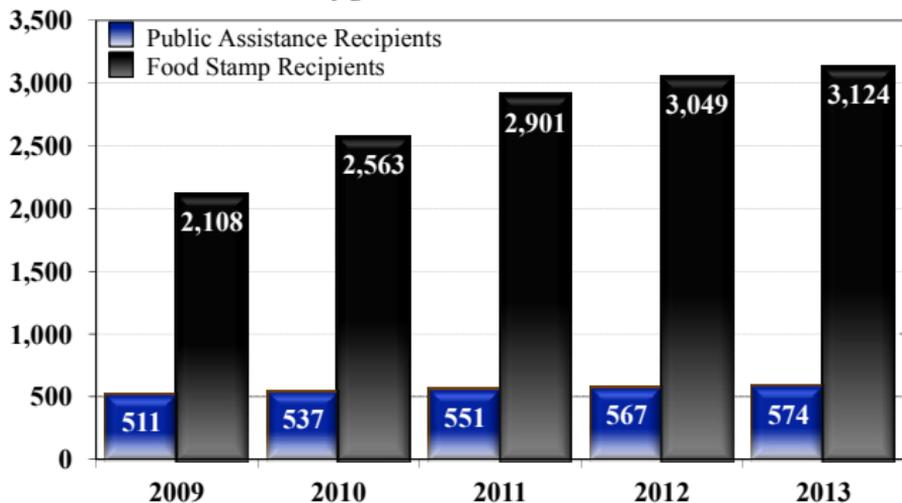
*figures in thousands*



*Since 2008, personal income has grown faster in New York (8.3%) than in the nation as a whole (7.3%).*

## Recipients of Public Assistance and Food Stamps

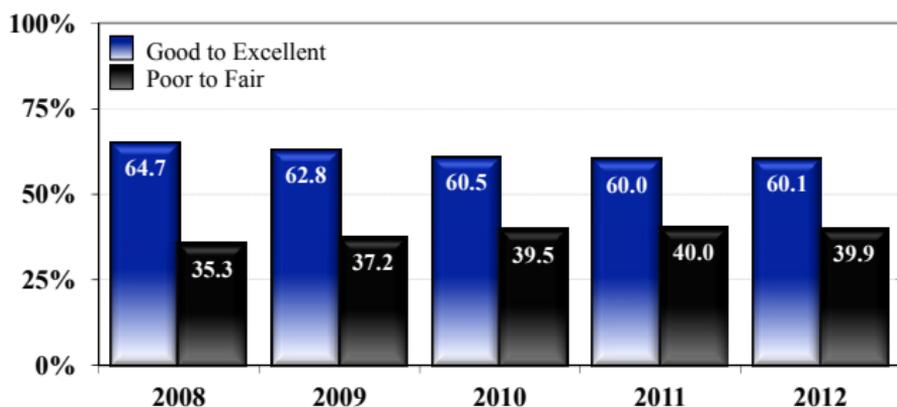
*figures in thousands*



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## Highway Condition Ratings in New York

*as a percentage of total lane miles*



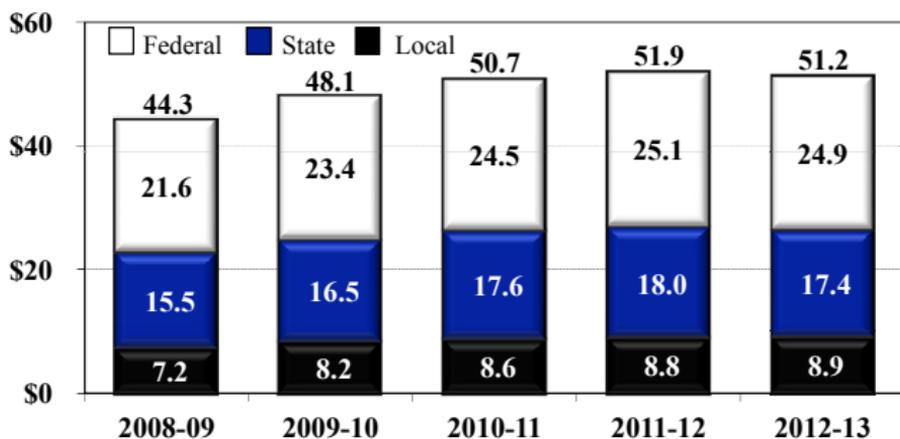
*The State is responsible for maintaining more than 42,600 lane miles of highway.*

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## Medicaid Costs\*

*figures in billions*



\*Unreconciled paid claims from the Department of Health

*Since 2009, State and local Medicaid costs have increased by 12.3% and 23.6%, respectively. The federal government's costs for New York increased 15.3% over the same period.*

# State Revenues 2012-2013\*

*with changes from previous year*

<b>PERSONAL INCOME TAX</b>	<b>\$40.2 Billion (3.6%)</b>
<b>BUSINESS TAXES</b>	<b>\$8.5 Billion (7.6%)</b>
Corporation Franchise.....	\$3 Billion (-6.3%)
Corporation and Utilities.....	\$894 Million (12.2%)
Insurance.....	\$1.5 Billion (7.1%)
Bank.....	\$1.9 Billion (35.7%)
Petroleum Business.....	\$1.2 Billion (9.1%)
<b>LOTTERY INCOME, VLT, CASINO</b>	<b>\$3.2 Billion (6.7%)</b>
<b>BORROWING</b>	<b>\$3.5 Billion (-7.9%)</b>
<b>CONSUMER TAXES</b>	<b>\$14.6 Billion (0%)</b>
Sales Tax.....	\$12 Billion (0.8%)
Cigarette/Tobacco Products.....	\$1.5 Billion (-6.3%)
Motor Fuel.....	\$493 Million (-1.8%)
Alcoholic Beverages.....	\$246 Million (3.4%)
Highway Use.....	\$145 Million (9.8%)
Auto Rentals.....	\$109 Million (4.8%)
New York City MCTD Taxicab Ride.....	\$83 Million (-4.6%)
<b>OTHER TAXES</b>	<b>\$3 Billion (-3.2%)</b>
Estate and Gift.....	\$1 Billion (-9.1%)
Pari-Mutuel.....	\$17 Million (0%)
Real Estate Transfer.....	\$756 Million (23.9%)
New York City MCTD Mobility.....	\$1.2 Billion (-14.3%)
<b>OTHER</b>	<b>\$17.8 Billion (2.3%)</b>
Student Tuition and Fees.....	\$2.8 Billion (3.7%)
Hospital Patient Fees.....	\$2.4 Billion (4.3%)
Abandoned Property.....	\$716 Million (-5.3%)
Regulatory Assessments.....	\$2.4 Billion (-7.7%)
Transfers from Public Goods Pool.....	\$4.1 Billion (0%)
Miscellaneous.....	\$5.4 Billion (10.2%)

\*State Funds only

# State Spending 2012-2013\*

*with changes from previous year*

<b>EDUCATION</b>	<b>\$35 Billion (3.2%)</b>
Public Schools.....	\$22.2 Billion (3.7%)
School Tax Relief (STAR).....	\$3.3 Billion (3.1%)
State University of New York.....	\$7.1 Billion (2.9%)
City University of New York.....	\$1.4 Billion (7.7%)
Tuition Assistance Program.....	\$893 Million (-3.6%)
Higher Education Services Corporation.....	\$117 Million (28.6%)
Cultural Programs.....	\$23 Million (-32.4%)
<b>PUBLIC HEALTH</b>	<b>\$25.4 Billion (0%)</b>
Health and Mental Health Services.....	\$7.7 Billion (-12.5%)
Medical Assistance (Medicaid).....	\$17.7 Billion (6.6%)
<b>PUBLIC WELFARE</b>	<b>\$3.9 Billion (0%)</b>
Public Welfare.....	\$3.5 Billion (0%)
Public Housing.....	\$244 Million (35.6%)
Employment Services.....	\$230 Million (12.7%)
<b>PUBLIC SAFETY</b>	<b>\$4.2 Billion (7.7%)</b>
Criminal Justice.....	\$1 Billion (0%)
Emergency Management.....	\$263 Million (45.3%)
Prisons/Reformatories.....	\$2.9 Billion (7.4%)
<b>TRANSPORTATION</b>	<b>\$6.6 Billion (1.5%)</b>
<b>ENVIRONMENT/RECREATION</b>	<b>\$899 Million (12.5%)</b>
Environmental Protection.....	\$642 Million (20.7%)
Parks, Recreation.....	\$257 Million (-3.7%)
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$1.1 Billion (-21.4%)</b>
<b>LOCAL GOVERNMENT</b>	<b>\$977 Million (0.1%)</b>
<b>GENERAL GOVERNMENT</b>	<b>\$10.3 Billion (-1.9%)</b>
<b>REPAY DEBT</b>	<b>\$6.1 Billion (3.4%)</b>

\*State Funds only

# Federal Grants Received and Disbursed

## 2012-2013

*with changes from previous year*

<b>RECEIVED</b>	<b>\$42.8 Billion (-4%)</b>
<b>DISBURSED</b>	<b>\$38.6 Billion (-4.2%)</b>
<b>EDUCATION</b>	<b>\$3.7 Billion (-28.8%)</b>
Public Schools .....	\$3.4 Billion (-30.6%)
State University of New York.....	\$291 Million (-3.6%)
Tuition Assistance Program.....	\$0 (-100%)
Higher Education Services Corporation.....	\$6 Million (50%)
Cultural Programs.....	\$0 (-100%)
<b>PUBLIC HEALTH</b>	<b>\$26 Billion (-1.5%)</b>
Health and Mental Health Services .....	\$1.8 Billion (12.5%)
Medical Assistance (Medicaid) .....	\$24.2 Billion (-2.4%)
<b>PUBLIC WELFARE</b>	<b>\$5.2 Billion (-7.1%)</b>
Public Welfare .....	\$4.7 Billion (-7.8%)
Public Housing .....	\$38 Million (22.6%)
Employment Services.....	\$506 Million (3.1%)
<b>PUBLIC SAFETY</b>	<b>\$1.5 Billion (153%)</b>
Criminal Justice .....	\$112 Million (-72.4%)
Emergency Management.....	\$1.4 Billion (676%)
Prisons/Reformatories .....	\$0 (-100%)
<b>TRANSPORTATION</b>	<b>\$1.5 Billion (0%)</b>
<b>ENVIRONMENT/RECREATION</b>	<b>\$327 Million (-15.3%)</b>
Environmental Protection.....	\$319 Million (-15.4%)
Parks, Recreation.....	\$8 Million (-11.1%)
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$19 Million (11.8%)</b>
<b>LOCAL GOVERNMENT</b>	<b>\$36 Million (-88.6%)</b>
<b>GENERAL GOVERNMENT</b>	<b>\$280 Million (-16.4%)</b>

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All data in this *Citizens' Guide* was compiled by the Office of the State Comptroller. More detailed data is presented in other publications of the Comptroller's Office, including the Comptroller's *Comprehensive Annual Financial Report* and *Annual Report to the Legislature on State Funds Cash Basis of Accounting*.

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