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STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER

February 17, 2011

Dr. Nancy Kleniewski
President
SUNY College at Oneonta
108 Ravine Parkway
Oneonta, NY 13820

Re: Report 2010-F-44

Dear Dr. Kleniewski:

According to the State Comptroller's authority as set forth in Article V, Section 1 of the State Constitution and Article II, Section 8 of the State Finance Law, we followed up on the actions taken by officials of the SUNY College at Oneonta (College) to implement the recommendations contained in our audit report, *Network Security Controls* (Report 2009-S-3).

Background, Scope, and Objective

Oneonta is a four-year SUNY college with a computer network which stores student records. The college has online resources (web applications) available via the Internet to students so they can register for classes, get grades and transcripts, pay bills and update personal information, among other things. In addition, Oneonta has a wireless connection available in all of its buildings.

Oneonta's President's Cabinet issued a Policy that defines the minimum security requirements that Oneonta must meet to comply with State and Federal directives. Oneonta established a security management team to ensure compliance. The Policy further states that the Computing Departments must maintain a secure network and participate in identifying and selecting appropriate security controls and procedures, and in protecting assets. The State University's System Administration has also established Information Security Guidelines that all State colleges must follow. The Guidelines outline the needed information security and procedures colleges should implement to protect sensitive data.

Our initial audit report, issued on February 10, 2010, examined selected aspects of the security controls in place over the Network for the period January 21, 2009 through July 20, 2009. Certain controls needed to be improved. The objective of our follow up audit was to assess the extent of implementation as of January 21, 2011 of the two recommendations included in our confidential report. Due to the sensitivity of the information and the potential risk associated with the release of such information, the details of the recommendations and their

implementation status are not included in this report. However, we discussed the detailed results of our follow up work with College officials.

Summary Conclusions

Overall, the Information Security Officer has taken many actions to implement the recommendations contained in the confidential audit report. Although additional steps are needed, the Information Security Officer has a documented plan to implement the remaining actions needed.

Major contributors to this report were Nadine Morrell, Claudia Christodoulou, and Jennifer Van Tassel.

We thank the management and staff of the College at Oneonta for the courtesies and cooperation extended to our auditors during this process.

Yours truly,

Brian Reilly
Audit Manager

cc: Tom Lukacs, Division of the Budget
Nancy Zimpher, SUNY Chancellor
Michael Abbott, SUNY Internal Audit
Todd Foreman, SUNY Oneonta